



A Division of State One Stockbroking Ltd /// ABN 95 092 989 083  
AFSL 247 100 /// Participant of ASX Group & Chi-X Australia

Level 14  
172 St. Georges Terrace, PERTH WA 6000  
PO Box 7669, CLOISTERS SQUARE WA 6850  
Telephone: 08 9288 3333 or 1300 308 305  
Email: [amscot@amscot.com.au](mailto:amscot@amscot.com.au)

### Anti – Money Laundering Obligations Trust AML Form

Full Name of Trustee: \_\_\_\_\_

Full Name of Trust: \_\_\_\_\_

Account Number: \_\_\_\_\_ Client ID Number: \_\_\_\_\_

Due to AML changes taking place as of 1<sup>st</sup> January 2016, we need to understand the nature and purpose of your relationship with State One / Amscot. In order to do that we need to ask you a few additional questions:

**We require a copy of the trust deed**

Type of Trust:	
Country where trust was established:	
Full Name of Settlor:	
Trust business activity / purpose:	

**Are the Trustees the beneficiaries?**

Yes	No

**If No please provide the details of the beneficiaries and any person or persons who can exercise control by making decisions about financial and operating policies (other than the trustee):**

**Beneficial Owner 1**

Full Name:	
Date of Birth:	
Role or Class of beneficiary:	
Address Line 1	
Address Line 2	

**Beneficial Owner 2**

Full Name:	
Date of Birth:	
Role or Class of beneficiary:	
Address Line 1	
Address Line 2	

**Beneficial Owner 3**

Full Name:	
Date of Birth:	
Role or Class of beneficiary:	
Address Line 1	
Address Line 2	

**Beneficial Owner 4**

Full Name:	
Date of Birth:	
Role or Class of beneficiary:	
Address Line 1	
Address Line 2	

**For regulated trusts (e.g Superannuation funds) please complete:**

Regulator Details: (e.g ATO)	
ABN number or Regulated Licence Details:	



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**Please advise the source of the funds? (Please tick one or more)**

Source of Funds

Investment	Savings	Inheritance	Asset sale	Superannuation	Normal course of Business	Other – Please Specify

Please note this information is collected, used and disclosed in accordance with our privacy policy, which includes details about how you may request to access and correct any information that we hold about you. This policy is publicly available at our website: [www.amscot.com.au](http://www.amscot.com.au)

**For Each Trustee/Director and for Each Beneficial Owner/Controller we will require an ID check and a PEPs check**

For Amscot Internal Use Only:

PEP -

Yes	No

ID Check –

Yes	No

Amscot Representative: \_\_\_\_\_

Recorded Line number: \_\_\_\_\_

Date: \_\_\_\_\_ Time: \_\_\_\_\_