



A Division of State One Stockbroking Ltd /// ABN 95 092 989 083
AFSL 247 100 /// Participant of ASX Group & Chi-X Australia

THIRD PARTY AUTHORITY FORM

Name of Account _____

amscot Account Number

I/ We _____ (the Client (s) hereby) authorise:

THIRD PARTY

Mr / Mrs / Ms / Miss / Dr

Date of Birth	Relationship
DD / MM / YYYY	

Occupation	Employer

Residential Address

Suburb	Postcode	State

Contact Phone Numbers

Home	Work	Mobile
()	()	

Email Address

I hereby authorise and request you to accept and act upon any instructions issued by the signatory pursuant to this authority;
And I (the Client) undertake and accept full responsibility of any actions taken by the signatory under this authority. Please refer to Terms and Conditions outlined in Clause 8.

PLEASE ENSURE THIRD PARTY'S IDENTIFICATION IS PROVIDED WITH FORM (see attached checklist)

	Name	Signatures	Date
Third Party	<input type="text"/>	<input type="text"/>	DD / MM / YY
Applicant 1	<input type="text"/>	<input type="text"/>	DD / MM / YY
Applicant 2	<input type="text"/>	<input type="text"/>	DD / MM / YY
Applicant 3	<input type="text"/>	<input type="text"/>	DD / MM / YY

Please send the completed form to:
amscot Stockbroking
PO Box 7625
Cloisters Square WA 6850
Email: discount@amscot.com.au

Identification – Anti Money Laundering & Counter Terrorism Financing Requirements

Each Third party applicant must ensure that they meet the identification requirements as outlined in the Anti Money Laundering and Counter Terrorism Financing Act ("AML/CTF").

We require you to provide **original** documents or an **original certified copy**⁴ of the documents listed below in order to meet our AML/CTF obligations. Please do not send us your original documents such as your passport.

A. **PRIMARY IDENTIFICATION DOCUMENTS FOR INDIVIDUALS**

- Current licence or permit issued under a law of a State or Territory containing the full name, date of birth and current residential address of the applicant is sufficient on its own. Best example of this would be a Drivers Licence.

If a Drivers Licence is not available, please provide identification as outlined below.

B. **OTHER PRIMARY IDENTIFICATION DOCUMENTS PLUS** one of the items in **SECTION C** below

- Passport issued by the Commonwealth which may have expired within the previous 2 years
- A passport or similar document issued for the purpose of international travel that, contains a photo and signature of the person in whose name the document is issued, is issued by a foreign government, the United Nations or an agency of the United Nations, if it is written in a language that is not understood by the person carrying out the verification – is accompanied by an English translation prepared by an accredited translator.
- Proof of Age Card (issued by an Australian State or Territory bearing the applicants photograph, name and date of birth)
- A National Identity Card that, contains a photograph and the signature of the person in whose name the document is issued, is issued by a foreign government, the United Nations or an agency of the United Nations and if it is written in a language that is not understood by the person carrying out the verification – is accompanied by an English translation prepared by an accredited translator.
- Australian birth Certificate
- Australian birth extract
- Australian citizenship certificate
- A citizenship certificate issued by a foreign government that, if it is written in a language that is not understood by the person carrying out the verification, is accompanied by an English translation prepared by an accredited translator
- Pension card issued by Centrelink that entitles the person in whose name the card is issued, to financial benefits

C. **SECONDARY IDENTIFICATION DOCUMENTS**

- Letter from Centrelink within the last 12 months regarding a government assistance payment showing your name and current residential address **OR**
- Utilities bill or local government notice issued within the last three months showing your name and current residential address as shown on the application form **OR**
- Notice issued by the ATO within the last 12 months showing your name and current residential address as shown on the application form.

Certified Copy

Certified copy means an extract that has been certified as a true copy of some of the information contained in a complete original document by one of the persons described below.

People who can certify documents or extracts are:

1. a person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described);
2. a judge of a court;
3. a magistrate;
4. a chief executive officer of a Commonwealth court;
5. a registrar or deputy registrar of a court;
6. a Justice of the Peace;
7. a notary public (for the purposes of the Statutory Declaration Regulations 1993);
8. a police officer;
9. a pharmacist;
10. an agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public;
11. a permanent employee of the Australian Postal Corporation with 2 or more years of continuous service who is employed in an office supplying postal services to the public;
12. an Australian consular officer or an Australian diplomatic officer (within the meaning of the Consular Fees Act 1955);
13. an officer with 2 or more continuous years of service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 1993);
14. a finance company officer with 2 or more continuous years of service with one or more finance companies (for the purposes of the Statutory Declaration Regulations 1993);
15. an officer with, or authorised representative of, a holder of an Australian financial services licence, having 2 or more continuous years of service with one or more licensees.
16. a member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with 2 or more years of continuous membership.

Please note that for the purposes of this application, applicant(s) and third parties cannot certify and/or witness the Identification documents pertaining to this application.