



**THIRD PARTY AUTHORITY FORM**

Name of Account \_\_\_\_\_

amscot Account Number

I/ We \_\_\_\_\_ ( the Client (s) hereby) authorise:

**THIRD PARTY**

Mr / Mrs / Ms / Miss / Dr

Date of Birth	Relationship
DD / MM / YYYY	

Occupation	Employer

Residential Address

Suburb	Postcode	State

Contact Phone Numbers

Home	Work	Mobile
( )	( )	

Email Address

I hereby authorise and request you to accept and act upon any instructions issued by the signatory pursuant to this authority;

And I (the Client) undertake and accept full responsibility of any actions taken by the signatory under this authority. Please refer to Terms and Conditions outlined in Clause 8.

**PLEASE ENSURE THIRD PARTY'S IDENTIFICATION IS PROVIDED WITH FORM (see attached checklist)**

Name	Signatures	Date
Third Party	<i>X</i>	DD / MM / YY
Applicant 1	<i>X</i>	DD / MM / YY
Applicant 2	<i>X</i>	DD / MM / YY
Applicant 3	<i>X</i>	DD / MM / YY

Please send the completed form to:

amscot Stockbroking  
PO Box 7669  
Cloisters Square WA 6850  
Email: [discount@amscot.com.au](mailto:discount@amscot.com.au)

## Identification – Anti Money Laundering & Counter Terrorism Financing Requirements

Each Third party applicant must ensure that they meet the identification requirements as outlined in the Anti Money Laundering and Counter Terrorism Financing Act ("AML/CTF").

We require you to provide **original** documents or an **original certified copy**<sup>1</sup> of the documents listed below in order to meet our AML/CTF obligations. Please do not send us your original documents such as your passport.

### A. **PRIMARY IDENTIFICATION DOCUMENTS FOR INDIVIDUALS**

- Current licence or permit issued under a law of a State or Territory containing the full name, date of birth and current residential address of the applicant is sufficient on its own. Best example of this would be a Drivers Licence.

***If a Drivers Licence is not available, please provide identification as outlined below.***

### B. **OTHER PRIMARY IDENTIFICATION DOCUMENTS PLUS** one of the items in **SECTION C** below

- Passport issued by the Commonwealth which may have expired within the previous 2 years
- A passport or similar document issued for the purpose of international travel that, contains a photo and signature of the person in whose name the document is issued, is issued by a foreign government, the United Nations or an agency of the United Nations, if it is written in a language that is not understood by the person carrying out the verification – is accompanied by an English translation prepared by an accredited translator.
- Proof of Age Card (issued by an Australian State or Territory bearing the applicants photograph, name and date of birth)
- A National Identity Card that, contains a photograph and the signature of the person in whose name the document is issued, is issued by a foreign government, the United Nations or an agency of the United Nations and if it is written in a language that is not understood by the person carrying out the verification – is accompanied by an English translation prepared by an accredited translator.
- Australian birth Certificate
- Australian birth extract
- Australian citizenship certificate
- A citizenship certificate issued by a foreign government that, if it is written in a language that is not understood by the person carrying out the verification, is accompanied by an English translation prepared by an accredited translator
- Pension card issued by Centrelink that entitles the person in whose name the card is issued, to financial benefits

### C. **SECONDARY IDENTIFICATION DOCUMENTS**

- Letter from Centrelink within the last 12 months regarding a government assistance payment showing your name and current residential address **OR**
- Utilities bill or local government notice issued within the last three months showing your name and current residential address as shown on the application form **OR**
- Notice issued by the ATO within the last 12 months showing your name and current residential address as shown on the application form.

## Certified Copy

Certified copy means an extract that has been certified as a true copy of some of the information contained in a complete original document by one of the persons described below.

### **People who can certify documents or extracts are:**

1. a person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described);
2. a judge of a court;
3. a magistrate;
4. a chief executive officer of a Commonwealth court;
5. a registrar or deputy registrar of a court;
6. a Justice of the Peace;
7. a notary public (for the purposes of the Statutory Declaration Regulations 1993);
8. a police officer;
9. a pharmacist;
10. an agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public;
11. a permanent employee of the Australian Postal Corporation with 2 or more years of continuous service who is employed in an office supplying postal services to the public;
12. an Australian consular officer or an Australian diplomatic officer (within the meaning of the Consular Fees Act 1955);
13. an officer with 2 or more continuous years of service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 1993);
14. a finance company officer with 2 or more continuous years of service with one or more finance companies (for the purposes of the Statutory Declaration Regulations 1993);
15. an officer with, or authorised representative of, a holder of an Australian financial services licence, having 2 or more continuous years of service with one or more licensees.
16. a member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with 2 or more years of continuous membership.

**Please note that for the purposes of this application, applicant(s) and third parties cannot certify and/or witness the Identification documents pertaining to this application.**