



A Division of State One Stockbroking Ltd /// ABN 95 092 989 083  
AFSL 247 100 /// Participant of ASX Group & Chi-X Australia

**AGREEMENT FORM FOR RECEIPT OF CONFIRMATION (CONTRACT) NOTES  
IN ELECTRONIC FORM VIA EMAIL**

amscot Account Number: \_\_\_\_\_

amscot Account Name: \_\_\_\_\_

Email Address: \_\_\_\_\_

2<sup>nd</sup> Email Address: \_\_\_\_\_

I/We hereby agree to the receipt of electronic confirmation notes (by email or facsimile) issued by State One Stockbroking Ltd.

I/We understand the confirmation notes dispatched electronically will be the sole form of such notes to be issued to me/us and that they are issued under the same terms and conditions as those sent by mail.

In addition to those conditions stated on the electronic confirmation note, I/we also agree to be bound by the conditions as set out below:

- All transactions are subject to, and confirmation notes are issued subject to, the Operating Rules of each Relevant Exchange, customs and usage of Australian Securities Exchange Limited and Chi-X Australia Pty Ltd including those Operating Rules which set out rights and obligations as between State One Stockbroking Ltd and myself/ourselves and the correction of errors or omissions. Confirmation Notes issued in relation to the purchase of CHESSE Approved Securities are also issued subject to the ASX Clearing and ASX Settlement Rules.
- For purchases, payment is due as specified on the contract note and if payment is not received when due, State One Stockbroking Ltd reserves the right to charge interest and/or fail fees as specifies in our Financial Services Guide.
- For sales, securities or securities details must be delivered within three business days. Credits in respect of sales are not available until settlement date as stated on the confirmation note and only when securities (to satisfy delivery) have been received by State One Stockbroking Ltd. Failure to do so could result in the application of a fail fee (as detailed in our Financial Services Guide), which can escalate daily from the due date.

**SIGNING AND ACKNOWLEDGEMENT**

NAME

SIGNATURE

Applicant 1 or Director:   
(if sole director please tick box)

Applicant 2 or  
Director / Company Secretary:

Applicant 3:

Date:

Please send the completed form to:

amscot Stockbroking  
PO BOX 7625  
CLOISTERS SQUARE WA 6850  
Telephone: 1300 308 305  
Email: [discount@amscot.com.au](mailto:discount@amscot.com.au)