

Client Account Number

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## SOPHISTICATED INVESTOR CERTIFICATE

(Issued under Chapters 6D or 7 of the Corporations Act 2001)

Full Name of Individual or Company		
Address Details		
Suburb	Postcode	State

I certify that the (Individual or Company) whose details are set out above:

- Has net assets of at least A\$2.5 million; or
- Has a gross income for each of the last 2 financial years of at least A\$250,000 a year

I can confirm I am a member of one or more of the following professional bodies (please tick appropriate box)

- ☐ CPA Australia ("CPA or FCPA")
- ☐ Institute of Chartered Accountants in Australia ("CA", "ACA" or "FCA")
- ☐ Institute of Public Accountants (IPA) ("AIPA", "MIPA" or "FIPA")
- ☐ I am subject to and in compliance with that professional body's continuing education requirements as listed in ASIC Class Order C0 01/1256.
- ☐ I confirm that I am independent of the above-named person and/or entities.

### ACKNOWLEDGEMENT AND SIGNATURE

Accountant	Firm
Name:	Name of Firm:
Signature:	Business Address:
Contact Number:	Certificate Issue Date:

\* This certificate is valid for 2 years from the date of issue

Please send the completed form to:  
State One Stockbroking Ltd  
PO BOX 7625  
CLOISTERS SQUARE WA 6850

Or Email: [stateone@stateone.com.au](mailto:stateone@stateone.com.au)