

Client Account Number

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## DIRECT DEBIT REQUEST

### Request and Authority to Debit

You request and authorise SOSL [Debit User Identification Number 244449] to arrange, through its own financial institution, for any amount SOSL may debit or charge you to be debited through the Bulk Electronic Clearing System from an account held at the financial institution identified below and paid to SOSL, subject to the terms and conditions of the Direct Debit Request Service Agreement [and any further instructions provided below].

### Conditions

- The designated bank account must be in the same name(s) as the account you are opening or existing trading account with Amscot. Third Party accounts cannot be accepted.
- If a joint bank account is nominated, both parties must sign.

Amscot Trading Account Name

Account Designation (if applicable)

Name of Bank Account
held in the name of:

BSB	Account Number																		
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Bank Name	Branch Address

### ACKNOWLEDGEMENT AND SIGNATURE

By signing this Direct Debit Request, you acknowledge having read and understood the terms and conditions governing the debit arrangements between you and SOSL as set out in this Request and in your Direct Debit Request Service Agreement.

Applicant 1 or Director	Applicant 2 or Director/Company Secretary
Name:	Name:
Signature:	Signature:
Date:	Date:

Please send the completed form to:

**Amscot Stockbroking**  
**PO BOX 7625**  
**CLOISTERS SQUARE WA 6850**

Or Email: [amscot@amscot.com.au](mailto:amscot@amscot.com.au)

# DIRECT DEBIT REQUEST SERVICE AGREEMENT

## Definitions

account means the account held at your financial institution from which we are authorised to arrange for funds to be debited.

agreement means this Direct Debit Request Service Agreement between you and us.

banking day means a day other than a Saturday or a Sunday or a public holiday listed throughout Australia.

debit day means the day that payment by you to us is due.

debit payment means a particular transaction where a debit is made.

direct debit request means the Direct Debit Request between us and you

us or we means State One Stockbroking Limited, (the Debit User) you have authorised by signing a direct debit request.

you means the customer who signed the direct debit request.

your financial institution is the financial institution where you hold the account that you have authorised us to arrange to debit.

## 1. Debiting your account

1.1 By signing a direct debit request, you have authorised us to arrange for funds to be debited from your account. You should refer to the direct debit request and this agreement for the terms of the arrangement between us and you.

1.2 We will only arrange for funds to be debited from your account for the below:

(a) fees and charges specified on our FSG or fees and charges agreed to by you as per our terms and conditions;

(b) if we have sent a trade confirmation (contract note) to the address nominated by you or subsequent change of address form or the email address nominated by you on the 'Agreement form for Receipt of Confirmation (Contract) Notes in Electronic Form via Email' which specifies the amount payable by you to us and when it is due;

(c) on submission of an mFund application.

1.3 State One reserves the right to offset purchases and sales due on the same settlement date.

1.4 If the debit day falls on a day that is not a banking day, we may direct your financial institution to debit your account on the following banking day. If you are unsure about which day your account has or will be debited you should ask your financial institution.

## 2. Changes by us

2.1 We may vary any details of this agreement or a direct debit request at any time by giving you at least 1 days' written notice.

## 3. Changes by you

3.1 Subject to 3.2 and 3.3, you may change the arrangements under a direct debit request by notifying us in writing at Amscot Stockbroking PO Box 7625, Cloisters Square WA 6850 or by phone (08) 9288 3388 or by arranging it through your own financial institution.

3.2 If you wish to stop or defer a debit payment you must notify us in writing by 10 o'clock the day before the next debit day. This notice should be given to us in the first instance.

3.3 You may also cancel your authority for us to debit your account at any time by giving us 5 days' notice in writing before the next debit day. This notice should be given to us in the first instance.

## 4. Your obligations

4.1 It is your responsibility to ensure that there are sufficient clear funds available in your account to allow a debit payment to be made in accordance with the direct debit request.

4.2 If there are insufficient clear funds in your account to meet a debit payment:

(a) you may be charged a fee and/or interest by your financial institution;

(b) you may also incur fees or charges imposed or incurred by us; and

(c) you must arrange for the debit payment to be made by another method or arrange for sufficient clear funds to be in your account by an agreed time so that we can process the debit payment.

4.3 You should check your account statement to verify that the amounts debited from your account are correct

4.4 If State One Stockbroking Limited is liable to pay goods and services tax ("GST") on a supply made in connection with this agreement, then you agree to pay State One on demand an amount equal to the consideration payable for the supply multiplied by the prevailing GST rate.

## 5. Disputes

5.1 If you believe that there has been an error in debiting your account, you should notify us directly on 08 9288 3333 and confirm that notice in writing with us as soon as possible so that we can resolve your query more quickly.

5.2 If we conclude as a result of our investigations that your account has been incorrectly debited we will respond to your query and will arrange for your account to be adjusted (including interest and charges) accordingly. We will also notify you in writing of the amount by which your account has been adjusted.

5.3 If we conclude as a result of our investigations that your account has not been incorrectly debited we will respond to your query by providing you with reasons and any evidence for this finding.

5.4 Any queries you may have about an error made in debiting your account should be directed to us in the first instance so that we can attempt to resolve the matter between us and you. If we cannot resolve the matter you can still refer it to your financial institution which will obtain details from you of the disputed transaction and may lodge a claim on your behalf.

## 6. Accounts

You should check:

(a) with your financial institution whether direct debiting is available from your account as direct debiting is not available on all accounts offered by financial institutions.

(b) your account details which you have provided to us are correct by checking them against a recent account statement; and

(c) with your financial institution before completing the direct debit request if you have any queries on how to complete the request.

## 7. Confidentiality

7.1 We will keep any information (including your account details) in your direct debit request confidential. We will make reasonable efforts to keep any such information that we have about you secure and to ensure that any of our employees or agents who have access to information about you do not make any unauthorised use, modification, reproduction or disclosure of that information.

7.2 We will only disclose information that we have about you:

(a) to the extent specifically required by law; or

(b) for the purposes of this agreement (including disclosing information in connection with any query or claim).

## 8. Notice

8.1 If you wish to notify us in writing about anything relating to this agreement, you should write to Amscot Stockbroking Pty Ltd, PO Box 7625,

Cloisters Square WA 6850.

8.2 We will notify you by sending a notice in the ordinary post to the address you have given us in the direct debit request.

8.3 Any notice we send to you will be deemed to have been received on the third banking day after posting.